

## Artificial Pancreas: Model Predictive Control Design from Clinical Experience

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### Abstract

#### Background:

The objective of this research is to develop a new artificial pancreas that takes into account the experience accumulated during more than 5000 h of closed-loop control in several clinical research centers. The main objective is to reduce the mean glucose value without exacerbating hypo phenomena. Controller design and *in silico* testing were performed on a new virtual population of the University of Virginia/Padova simulator.

#### Methods:

A new sensor model was developed based on the Comparison of Two Artificial Pancreas Systems for Closed-Loop Blood Glucose Control versus Open-Loop Control in Patients with Type 1 Diabetes trial AP@home data. The Kalman filter incorporated in the controller has been tuned using plasma and pump insulin as well as plasma and continuous glucose monitoring measures collected in clinical research centers. New constraints describing clinical knowledge not incorporated in the simulator but very critical in real patients (e.g., pump shutoff) have been introduced. The proposed model predictive control (MPC) is characterized by a low computational burden and memory requirements, and it is ready for an embedded implementation.

#### Results:

The new MPC was tested with an intensive simulation study on the University of Virginia/Padova simulator equipped with a new virtual population. It was also used in some preliminary outpatient pilot trials. The obtained results are very promising in terms of mean glucose and number of patients in the critical zone of the control variability grid analysis.

#### Conclusions:

The proposed MPC improves on the performance of a previous controller already tested in several experiments in the AP@home and JDRF projects. This algorithm complemented with a safety supervision module is a significant step toward deploying artificial pancreases into outpatient environments for extended periods of time.

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**Abbreviations:** (AP) artificial pancreas, (BG) blood glucose, (CAT) Comparison of Two Artificial Pancreas Systems for Closed-Loop Blood Glucose Control versus Open-Loop Control in Patients with Type 1 Diabetes, (CGM) continuous glucose monitoring, (CVGA) control variability grid analysis, (LMPC) linear model predictive control, (MPC) model predictive control, (SC) subcutaneous, (SSM) safety supervision module

**Keywords:** artificial pancreas, closed-loop control, glucose regulations, meal compensation, model predictive control

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## Introduction

An artificial pancreas (AP) is an automatic device for regulating glucose in diabetes patients. A new perspective for the development of a device suitable for outpatient use started with the availability of subcutaneous (SC) glucose sensors and SC insulin pumps. The integration of these two components with a control algorithm is called the AP. In particular, in 1999, MiniMed introduced a commercial continuous glucose monitoring (CGM) system. Since then, several research projects studied and experimented with AP systems, starting with the MiniMed AP project,<sup>1</sup> with an acceleration caused by the launch of research projects funded by the JDRF, the European Commission, and the National Institutes of Health.<sup>2-6</sup>

Designing a control algorithm for the SC-to-SC glucose–insulin system is challenging, because the system is characterized by significant interindividual variability, time-varying dynamics, nonlinear phenomena, and time delays due to the absorption of insulin from the SC level to the blood and, in reverse, of glucose from the blood to the SC level. Moreover, the glucose profile depends on the insulin, whose delivery is bounded not only within an interval ranging from zero to a maximal value imposed by the pump, but also on very important disturbances such as meals and physical exercise that may be predicted to some extent.

The objective of the control algorithm is to keep the glucose levels within an optimal range (70–140 mg/dl). In the literature, several algorithms have been presented starting from proportional-integral-derivative schemes<sup>1,7</sup> and, more recently, relying on a very promising approach called model predictive control (MPC).<sup>2,8-18</sup> So far, encouraging pilot results have been reported using proportional-integral-derivative control<sup>1,3</sup> and MPC strategies.<sup>4,6,19</sup> In Breton and coauthors,<sup>20</sup> the MPC algorithm described by Patek and coauthors<sup>18</sup> was *in vivo* tested. A comparison of two MPC-based artificial pancreas systems was performed by Luijf and coauthors.<sup>21</sup> The study was performed on 48 patients with type 1 diabetes and consisted of three randomized 24 h admissions (with 23 h of closed-loop control in two of the admissions and one control admission with open loop) to the clinical research center. One of the closed-loop controls is the iAP (or International Artificial Pancreas Study Group) control algorithm, developed following the modular architecture proposed by Patek and coauthors<sup>18</sup> that includes the MPC algorithm described by Soru and coauthors,<sup>10</sup> herein called MPC1, and the safety supervision module (SSM) described by Hughes and coauthors.<sup>22</sup> In this article, a new MPC version, herein called MPC2, was designed based on collected data and other clinical experiences. The results illustrated in this article were tested *in silico* using the simulator developed by the Universities of Padova and Virginia equipped with a new cohort of virtual subjects that span sufficiently well the interindividual variability of key metabolic parameters in the general population of diabetes patients (see the work of Dalla Man and coauthors<sup>23</sup>).

## Methods

The MPC1 algorithm takes advantage of the knowledge of conventional therapy that addresses glucose regulation by a mix of piecewise constant insulin infusion, also called *basal insulin*,  $u_b$ , and impulse-like injections that are made just before meals to prevent excessive rises of blood glucose (BG), also called *insulin bolus*,  $u_{bolus}$ . In the absence of meals, the basal insulin, which varies from patient to patient, would eventually bring BG to a steady-state value, called *basal glucose*,  $G_b$ . The amount of the insulin bolus is scaled to the meal size using the patient parameters carbohydrate-to-insulin ratio and correction factor.

MPC1 is the linear model predictive control (LMPC) described by Soru and coauthors<sup>10</sup> that uses an approximate linear model of the insulin–glucose dynamics obtained from the linearization around a suitable working point of the more complex nonlinear model reported in by Magni and coauthors.<sup>9</sup> The derived linearized model can be written in the following form:

$$\begin{cases} x(k+1) = Ax(k) + Bu(k) + Md(k) \\ y(k) = Cx(k) \end{cases}, \quad (1)$$

where  $x(k) \in \mathbb{R}^{13}$  is the state as reported in **Table 1**,  $y(k) = CGM(k) - G_b$  (mg/dl) is the difference between the SC glucose and the basal value ( $G_b$ ),  $u(k) = i(k) - u_b(k)$  (pmol/kg) is the difference between the injected insulin and its reference value (normalized by the patient weight), and  $d(k)$  (mg) represents the meal.

Thereafter, it is assumed that the triplet  $(A, B, C)$  is both stabilizable and detectable. The LMPC algorithm uses this model to predict the future glucose profile given the carbohydrates and insulin taken in by the patient.

The cost function is a quadratic penalty defined as

$$J(x(k), u(\cdot), k) = \sum_{i=0}^{N-1} (q(y(k+i) - y_o(k+i))^2 + (u(k+i) - u_o(k+i))^2 + \|x(k+N)\|_P^2) \quad (2)$$

where  $q$  is the positive scalar weight and  $N$  is the prediction horizon. Moreover,  $\|x(k+N)\|_P = x(k+N)'Px(k+N)$ , where  $P$  is the unique nonnegative solution of the discrete time Riccati equation:

$$P = A'PA + qC'C - A'PB(1 + B'PB)B'PA$$

The reference signals are defined as  $y_o(k) = \tilde{y}(k) - G_b$  (mg/dl), the difference between the reference value ( $\tilde{y}$ ) of the SC glucose and the glucose basal value ( $G_b$ ), and  $u_o(k) = \tilde{u}(k) - u_b(k)$  (pmol/kg), the difference between the reference value ( $\tilde{u}$ ) of the insulin profile and the insulin basal value ( $u_b$ ), normalized by the patient weight.

The control law associated with a constrained LMPC may be derived either by solving an on-line quadratic programming problem or through a multiparametric approach, whose result is a piecewise constant control law that can be computed offline.

However, in view of the restrictive regulatory constraint and the significant uncertainty in the model, and in order to avoid online optimization or the computational and memory burdens of an explicit MPC for constrained systems, the proposed algorithm does not include explicit constraints. Hence it is possible to calculate the closed-form solution:

$$u^{MPC}(k) = [1 \ 0 \ \dots \ 0](-K_x x(k) - K_d D(k) + K_{Y_o} Y_o(k) + K_{U_o} U_o(k)), \quad (3)$$

where  $K_x \in \mathbb{R}^{N \times 13}$ ,  $K_d \in \mathbb{R}^{N \times N}$ ,  $K_{Y_o} \in \mathbb{R}^{N \times N}$ ,  $K_{U_o} \in \mathbb{R}^{N \times N}$  are derived as described in by Soru and coauthors<sup>10</sup> and

$$D(k) = [d(k) \ \dots \ d(k+N-2) \ d(k+N-1)]'$$

is the vector of future meals and

$$Y_o(k) = [y_o(k+1) \ \dots \ y_o(k+N-1) \ 0]'$$

$$U_o(k) = [u_o(k) \ \dots \ u_o(k+N-2) \ u_o(k+N-1)]'$$

In general, the state  $x(k)$  of the model is not accessible, so a Kalman filter is used to estimate it. The Kalman filter improves the quality of the information provided to the LMPC algorithm, exploiting the knowledge included in the

State	Description	Units
$x_1$	Glucose into the stomach in solid phase	mg
$x_2$	Glucose into the stomach in liquid phase	mg
$x_3$	Glucose into the intestine	mg
$x_4$	Plasma glucose	mg/kg
$x_5$	Tissues glucose	mg/kg
$x_6$	Plasma insulin	pmol/kg
$x_7$	Insulin action	pmol/liter
$x_8$	Insulin action delay on glucose production	pmol/liter
$x_9$	Delayed insulin	pmol/liter
$x_{10}$	Insulin in the liver	pmol/kg
$x_{11}$	First compartments of SC insulin	pmol/kg
$x_{12}$	Second compartments of SC insulin	pmol/kg
$x_{13}$	SC glucose	mg/kg

model as well as the past injected insulin. In fact, the Kalman filter is used to update the estimated glucose–insulin state using past information about glucose, insulin, and carbohydrates. The linear system (**Equation 1**), affected by noises, is written as

$$\begin{cases} x(k+1) = Ax(k) + Bu(k) + Md(k) + v_x(k) \\ y(k) = Cx(k) + v_y(k) \end{cases}$$

where  $v = [v_x \ v_y]$  is a multivariate zero-mean white Gaussian noise with covariance matrix

$$V = \begin{bmatrix} Q_{KF} & 0 \\ 0 & R_{KF} \end{bmatrix}, \quad Q_{KF} > 0 \quad R_{KF} > 0,$$

and the initial state  $x_0 = x(0)$  is assumed to be a zero mean Gaussian random variable independent of  $v$ .

From clinical data collected during the Comparison of Two Artificial Pancreas Systems for Closed-Loop Blood Glucose Control versus Open-Loop Control in Patients with Type 1 Diabetes (CAT) AP@home trial reported by Luijf and coauthors,<sup>21</sup> three major problems were pointed out: a measurement error larger than the one assumed for Kalman filter tuning, a virtual population used for controller synthesis whose insulin sensitivity was underestimated, and too-high nocturnal glucose levels.

#### *New Measurement Error Model Derived from CAT AP@home*

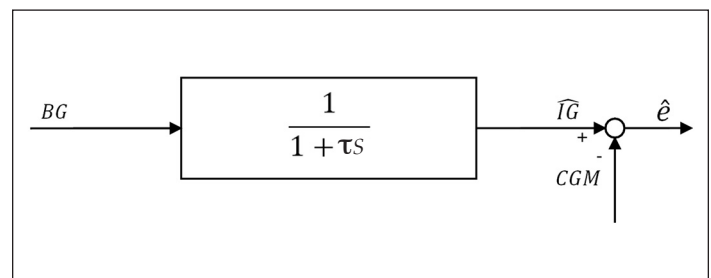
From the 141 data sets relative to the 47 patients enrolled in the CAT AP@home trial, information about BG and CGM was extracted. The BG sampling time was 15 min, with exception of the evening hours when it was decreased to 30 min and the night when it was 60 min. The CGM was sampled with the Dexcom Seven<sup>®</sup> Plus every 5 min. A critical point in the synthesis of a closed-loop controller is the quality of the measurement error model. The University of Virginia/Padova simulator,<sup>24</sup> used to tune the MPC by Soru and coauthors,<sup>10</sup> includes the error model proposed by Breton and Kovatchev<sup>25</sup> consisting of an autoregressive [AR(1)] model and a nonlinear Johnson function. In order to evaluate the capability of the measurement error model to describe the real difference between SC glucose concentration and CGM, the scheme reported in **Figure 1** is first introduced. The relation between BG and interstitial glucose is modeled as a first-order system with time constant  $\tau$ , which is representative of the lag between the blood and SC glucose concentrations. As constant  $\tau$  is unknown and patient dependent, a sensitivity analysis of  $\hat{e}$  with respect to  $\tau$  is made by considering the latter as a lognormal stochastic variable with mean 10.27 [s] and variance 7.18 [s<sup>2</sup>]. The estimate of the measurement error  $\hat{e}$  is compared with the modeled error  $\hat{e}_M$  through the visual predictive check approach.<sup>26</sup> The visual predictive check representation of  $\hat{e}$  and  $\hat{e}_M$  obtained through the model proposed by Breton and Kovatchev,<sup>25</sup> reported in **Figure 2A**, shows that the variability of the measurement error is significantly underestimated. Some limitations of this model error are already pointed out by Facchinetti and coauthors,<sup>27</sup> and thanks to the availability of four simultaneous sensor data, a new description of the components of the sensor model error has been proposed by Facchinetti and coauthors.<sup>28</sup> Here a new autoregressive [AR(2)] model is identified in order to describe the total measurement error, including wearing issues in addition to noise and drift usually considered.

The new model can be described by

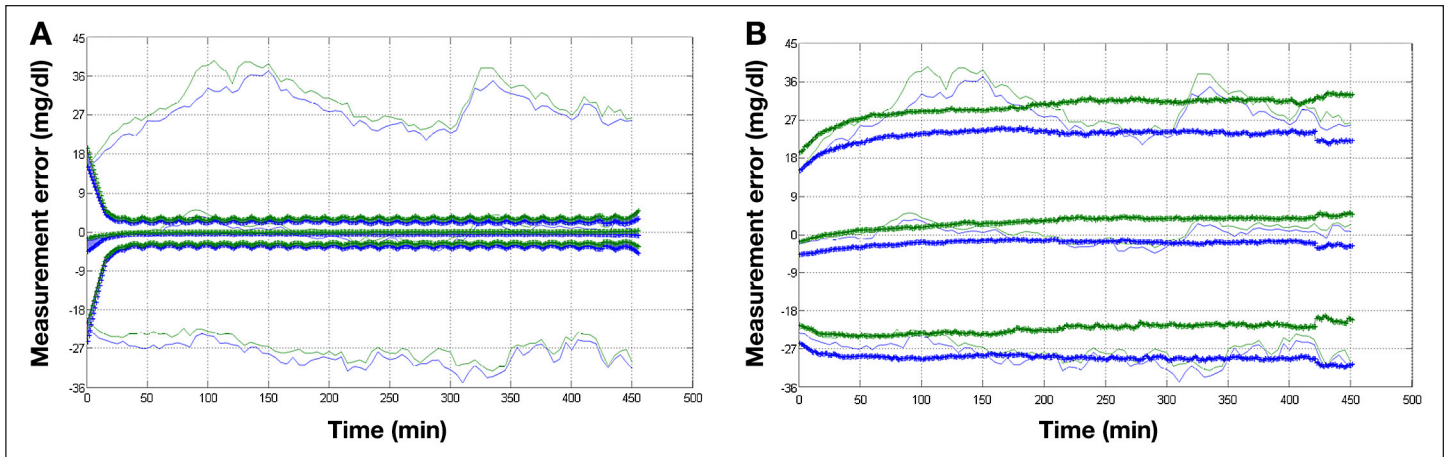
$$\varepsilon_{PV}(k) = a_1 \cdot \varepsilon_{PV}(k-1) + a_2 \cdot \varepsilon_{PV}(k-2) + v(k),$$

where error  $v(k)$  is a Gaussian white noise with mean  $\mu_v$  and variance  $\sigma_v^2$ , parameters  $a_1$  and  $a_2$  are coefficients of the autoregressive model, and the distribution of the initial states of the process is normal with mean  $\mu_{is}$  and variance  $\sigma_{is}^2$  (see **Table 2**).

The results obtained with the new model are presented in **Figure 2B**. Also, the mean absolute relative difference



**Figure 1.** Estimation of measurement error  $\hat{e}$ .  $\widehat{IG}$  is an estimate of the SC glucose concentration interstitial glucose, CGM represents its measure, and  $\hat{e}$  is an estimate of the measurement error.



**Figure 2.** Visual predictive check representation of 10th and 90th percentiles, estimation of measurement error  $\hat{e}$  with  $\tau$  variation effect (continuous line), and modelled error  $\hat{e}_M$  with different realizations (plus marker). Top panel (A) Comparison between the measurement error  $\hat{e}$  and the error model.<sup>25</sup> Bottom panel (B) Comparison between the measurement error  $\hat{e}$  and the new error model.

(MARD)<sup>29</sup> between CGM and BG obtained with the model (median 15%, interquartile range 13–17%) is in agreement with the data collected during the CAT AP@home trial (median 12%, interquartile range 10–18%); it is also similar to the MARD obtained with the Dexcom Seven Plus by Christiansen and coauthors<sup>29</sup> (median 14%, interquartile range 12–20%). In order to use this model with other sensors, variance  $\sigma_v^2$  must be adapted. For example, with  $\sigma_v^2 = 0.0141$ , the MARD is 11% with interquartile range 10–13%, similar to that of the Dexcom G4 (median 12.5%, interquartile range 9–16%) reported by Christiansen and coauthors.<sup>29</sup>

Table 2. Values of the Autoregressive Model of the Sensor Noise $\epsilon_{PV}$ <sup>21</sup>		
Parameter	Value	Units
$a_1$	1.5458	Adimensional
$a_2$	-0.5708	Adimensional
$\mu_v$	0.0017	mmol/liter
$\sigma_v^2$	0.0283	mmol <sup>2</sup> /liter <sup>2</sup>
$\mu_{is}$	[-0.1766 - 0.1566]	mmol/liter
$\sigma_{is}^2$	$\begin{bmatrix} 0.7759 & 0.7895 \\ 0.7895 & 0.8603 \end{bmatrix}$	mmol <sup>2</sup> /liter <sup>2</sup>

### Model Predictive Control Retuning

The MPC algorithm was improved by exploiting the experience gained with the AP@home experiments. The main changes in the MPC2 algorithm are listed and described as follows:

1. refined basal/bolus reference therapy
2. meal bolus limitation
3. insulin constraint
4. pump shutoff avoidance
5. insulin variation constraint
6. retuning of the cost function using a new virtual population of patients and the new sensor noise model

### Refined Basal/Bolus Reference Therapy

The reference value ( $\tilde{u}$ ) included in the cost function was previously computed as

$$\tilde{u}(k) = \frac{d(k)}{CR} + u_b(k).$$

Here it is adapted considering also the patient's BG concentration at the time of meal acknowledgement. Specifically, the correction, which is limited to percentage  $\mu$  of the nominal meal bolus value, is computed as

$$\bar{u}(k) = \frac{d(k)}{CR} + \min\left(\mu \cdot \frac{d(k)}{CR}, \frac{BG - y_{target}}{CF}\right) + u_b(k) = u_{bolus}(k) + u_b(k)$$

where  $y_{target}$  is the glucose reference and  $\mu$  is the maximum allowed meal bolus correction.

#### Meal Bolus Limitation

A limitation on the maximum bolus deliverable for each meal is also introduced: a maximal  $\alpha\%$  of the nominal bolus can be suggested to compensate for the meal. In formal terms, **Equation (3)** was modified as

$$u^I(k) = [1 \ 0 \ \dots \ 0] \left( -K_x x(k) + K_{Y_o} Y_o(k) + \min\left(\frac{\alpha}{100} \cdot \bar{u}_{bolus}(k), -K_d D(k) + K_{U_o} U_o(k)\right) \right),$$

#### Insulin Constraint

Due to the unconstrained nature of the MPC1, the finite horizon optimal solution can include negative insulin suggestions that obviously cannot be applied in the future. Hence the following constraint was introduced:

$$u^{II}(k) = u^I(k) + \min\left(\sum_{i=1}^{N_i-1} (u^I(k+i) + u_b) - \frac{\beta}{100} * u_b * (N_i - 1), 0\right),$$

where  $N_i$  is the considered horizon. This formula limits the suggested insulin, allowing also the possibility to suggest at least a percentage  $\beta$  of the basal in the future. In order to limit the MPC action, the control variable was also saturated according to the following rule:

$$u^{III}(k) = \max\left(-u_b(k), \min\left(\frac{\alpha}{100} \cdot \bar{u}_{bolus}(k) - \sum_{n=1}^{N_v} i(k-n), u^{II}(k)\right)\right),$$

where  $i$  is the insulin effectively injected in the past and  $N_v$  is the considered horizon.

#### Pump Shutoff Avoidance

In the literature, it is widely documented that the absence of insulin delivery for a long period can lead to metabolic decompensation and diabetic ketoacidosis.<sup>30</sup> However, short-interval interruption of basal insulin infusion may also result in risks of ketosis.<sup>31</sup> In order to avoid these events, a new constraint was added:

$$u^{IV}(k) = \begin{cases} u^{III}(k) & \text{if } G_p(k) < \bar{G} \\ \max(u^{III}(k), \gamma u_b(k)) & \text{otherwise} \end{cases},$$

where  $\gamma$  is the guaranteed fraction of basal delivery in the future and  $\bar{G}$  is a switching threshold.

#### Insulin Variation Constraint

Finally, data analysis showed that spikes in CGM can cause excessive reaction of the control algorithm. Hence, in order to avoid an increase of the suggested insulin greater than  $\zeta u_b$ , the MPC suggestion was corrected as

$$u^{MPC}(k) = \min(u^{IV}(k), i(k-1) - u_b(k-1) + \zeta \cdot u_b(k)).$$

Meal boluses can violate this constraint.

#### Retuning of the Cost Function Using a New Virtual Population of Patients and the New Sensor Noise Model

Glucose profiles observed in the AP@home trials exhibited a relatively high glucose average ( $148.21 \pm 20.42$ ); therefore the glucose set point ( $\bar{y}$ ) was decreased. Moreover, the prediction horizon was shortened for embedded implementation.<sup>32</sup> This is possible without performance degradation in view of the terminal penalty in **Equation (2)** that approximates

the infinite horizon cost. Finally, the cost function was retuned following the procedure described by Soru and coauthors<sup>10</sup> using the new virtual population<sup>23</sup> and the sensor model described in this work.

### Kalman Filter Retuning

In order to improve the predictions of the Kalman filter, a retuning of the  $Q_{KF}$  and  $R_{KF}$  matrices, exploiting both the AP@home data and the new sensor error model, was implemented.  $Q_{KF}$  was set as a diagonal matrix whose entries  $q_i$  are gathered in five homogeneous groups taking the same values (see **Table 3**).

Noise covariance matrix  $R_{KF}$  was set equal to the variance of signal  $v(k)$  (described in this article) while process noise covariance matrix  $Q_{KF}$  was tuned by minimizing the sum of the prediction error defined as

$$J_{ep} = (I_p - \hat{I}_p) + \eta \cdot (BG - \widehat{BG}),$$

where  $I_p$  is the measured plasma insulin,  $\hat{I}_p$  is the plasma insulin estimated by the Kalman filter,  $BG$  is the measured plasma glucose,  $\widehat{BG}$  is the plasma glucose estimated by the Kalman filter, and  $\eta$  is a suitable weight.

The optimization was performed by an exhaustive research over a grid that considered for each  $q_i$ , three possible values: 0.1, 1, and 10. The optimal values are reported in the last column of **Table 3**.

**Table 3.**  
Grouping of Covariance Matrix  $Q_{KF}$  Diagonal Elements  $q_i$  and Their Chosen Values

Group	Element	Signals	Value
1	$q_1, q_2, q_3$	states in mg	0.1
2	$q_4, q_5$	states in mg/kg	10
3	$q_6, q_7, q_8$	states in pmol/liter	0.1
4	$q_9, q_{10}, q_{11}, q_{12}$	states in pmol/kg	0.1
5	$q_{13}$	state in mg/dl	10

## Results and Discussion

This section reports the *in silico* comparison between the MPC1 algorithm, used in the CAT AP@home trial,<sup>10</sup> and MPC2, proposed in this article, with the parameters set as follows:

$$N = N_i = N_v = 4, \mu = 0.25, \tilde{y} = 119 \text{ mg/dl}, y_{target} = 115 \text{ mg/dl}, \alpha = 120, \beta = 50, \gamma = 0.3, \bar{G} = 140 \text{ mg/dl}, \zeta = 3.$$

The control weight  $q$  in **Equation (2)** is individualized using body weight, BW, and carbohydrate-to-insulin ratio as

$$q = e^{(-0.0366 \cdot BW - 0.2149 \cdot CR + 2.5444)},$$

where the coefficients were computed through the procedure described by Soru and coauthors<sup>10</sup> applied to 50 virtual patients.

Three different scenarios were evaluated: a nominal one in which all parameters were known, a sensitivity variation scenario where a  $\pm 25\%$  variation was randomly applied to the insulin sensitivity of each *in silico* patient in order to represent possible uncertainty on individual insulin sensitivity, and a meal variation scenario where the ingested amount of carbohydrates is the nominal one multiplied by a random factor uniformly distributed in [0.5 1.5] in order to reproduce a possible error in meal amount calculation. Each simulation started at 06:00 and lasted 34 h. Five meals were administrated during the whole trial: breakfast at 07:00 (50 g), lunch at 12:00 (60g), dinner at 18:30 (80g), and second breakfast and second lunch equal to the previous. In all scenarios, four sensor calibrations were planned per day: 30 min before each meal (06:30, 11:30, and 18:00) and one before the night (23:00). The comparison reported here was performed with the new virtual population<sup>22</sup> composed of 100 adult patients and the sensor noise model presented in this work.

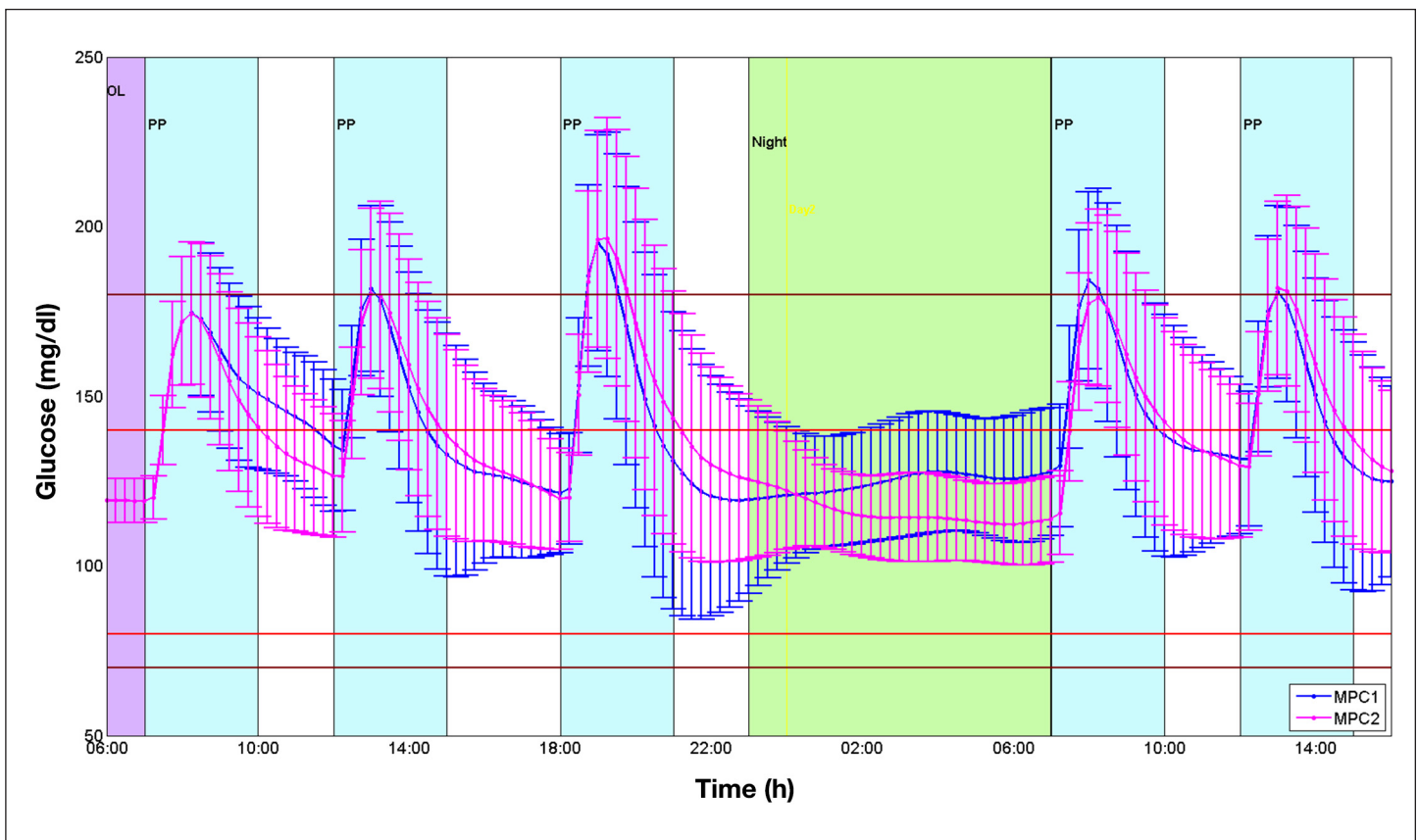
Differences in outcomes measures is assessed according with data distribution as reported in **Table 4**.

**Figure 3** shows the results in terms of glucose profiles obtained with both algorithms in the nominal scenario: the glucose mean with MPC2 tends to the set point in the nocturnal period; moreover, lower excursions in the postprandial

periods that cause hypoglycemia phenomena with MPC1 are reduced. MPC1 acts too aggressively after meals and maintains a high glucose level during the night. **Figure 4** shows the improvement obtained with the new algorithm on an improved version of the classic control variability grid analysis (CVGA).<sup>36</sup> This improved version was introduced Soru and coauthors<sup>10</sup> by allowing the classic CVGA nine square zones, associated with different control performance, to become concentric rings zones ranging from A to D. Axis scales and hence subject position remain the same. This new CVGA removes the limitation that a patient could move to a better zone with a slight reduction of one of the coordinates even at the

Table 4. Tests Used to Assert Significant Difference According to Data Distribution <sup>a</sup>		
	Data distributions	
	Both Gaussian	At least one not Gaussian
Homoscedastic	Two-sample <i>t</i> -test <sup>33</sup>	Wilcoxon rank sum <sup>34</sup>
Not homoscedastic	Two-sample <i>t</i> -test with Satterthwaite's approximation <sup>33</sup>	

<sup>a</sup> Gaussianity and homoscedasticity are addressed by the Lilliefors test<sup>35</sup> and two-sample *F*-test,<sup>34</sup> respectively.



**Figure 3.** The figure shows for MPC1 (blue) and MPC2 (magenta) the mean (dots) and the variability ( $\pm$ standard deviation) of the glucose profiles obtained in 100 virtual patients with the nominal scenario. OL, open loop; PP, postprandial period.

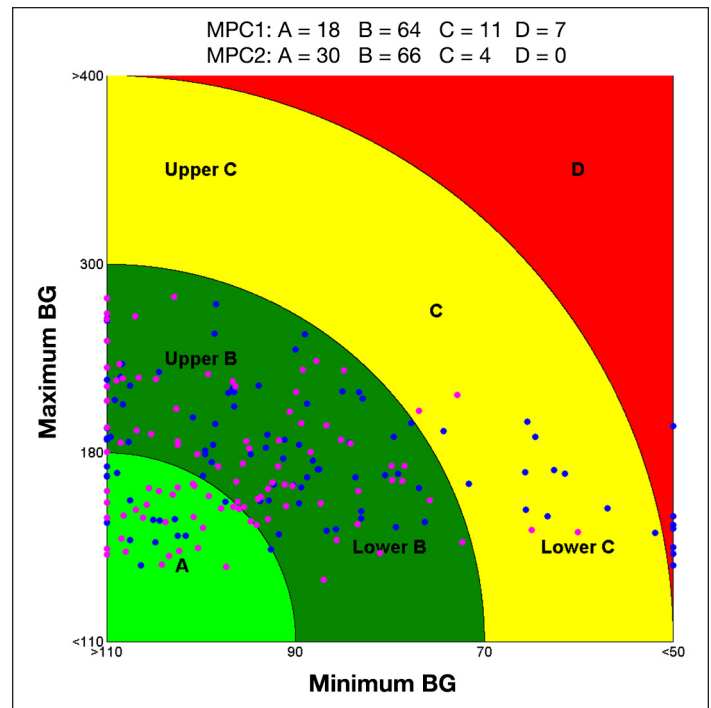
cost of a substantial increase of the other one. Another feature is that all patients suffering from severe hypoglycemic or hyperglycemic episodes will fall in region D. For example, the subjects with minimum BG = 110 and maximum BG = 181 and minimum BG = 95 and maximum BG = 179 are in the B and A zones, respectively, with the square zones while they are both in the B zone with the ring zones; on the contrary, the subjects with minimum BG = 110 and maximum BG = 170 and minimum BG = 95 and maximum BG = 170 are placed in different zones with the ring zones (A versus B zone) but not with the square ones (A versus A zone); the subjects with minimum BG = 60 and maximum BG = 181 and minimum BG = 40 and maximum BG = 179 with the ring zones are, respectively, in the C and D zones, while with the square zones are in D and C zones, respectively.



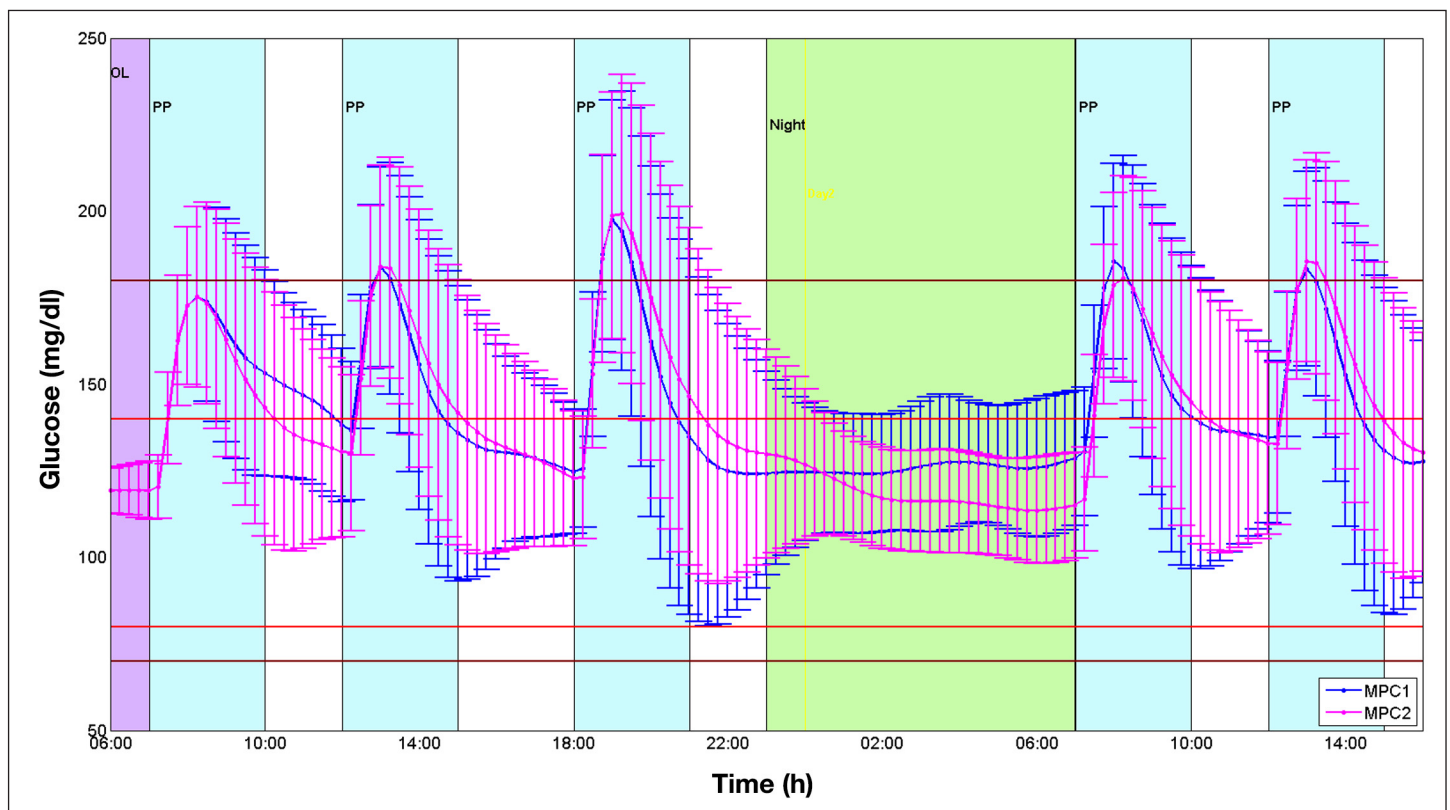
The same considerations can be deduced from the analysis of the glucose profile obtained with the sensitivity variation scenario (see **Figure 5**) and the meals variation scenario (see **Figure 6**). From the CVGA representation with the sensitivity variation scenario (**Figure 7**), it is apparent that the MPC2 reduces the number of patients in the D zone by approximately 50%, increasing those in the B zone; the number of patients in the A zone remains almost unchanged.

The CVGA representation with the meals variation scenario (**Figure 8**) shows that the MPC2 doubles the number of patients in the A zone, increases those in the B zone and reduces the number of patients in the C and D zones; in particular, it was reduced by 73% in the D zone.

**Tables 5 and 6** gather the performance indexes of all algorithms for the nominal and the robustness scenarios, respectively. MPC2 lowers the mean glucose and increases the time spent in tight target in all the considered scenarios, especially during the night ( $p < .01$ ); reduces the time spent below 70 mg/dl in nominal ( $p < .001$ ) and meal variation ( $p < .01$ ) scenarios; and always reduces the time spent below 50 mg/dl ( $p < .04$ ). Moreover, the



**Figure 4.** The CVGA representing the results obtained using MPC1 (blue) and MPC2 (magenta) on the nominal scenario. Each point represents the coordinates ( $x$  is a function of the minimal glucose value and  $y$  a function of the maximal value) associated with a single patient.



**Figure 5.** The figure shows for MPC1 (blue) and MPC2 (magenta) the mean (dots) and the variability ( $\pm$  standard deviation) of the glucose profiles obtained in 100 virtual patients with the sensitivity variation scenario. OL, open loop; PP, postprandial period.

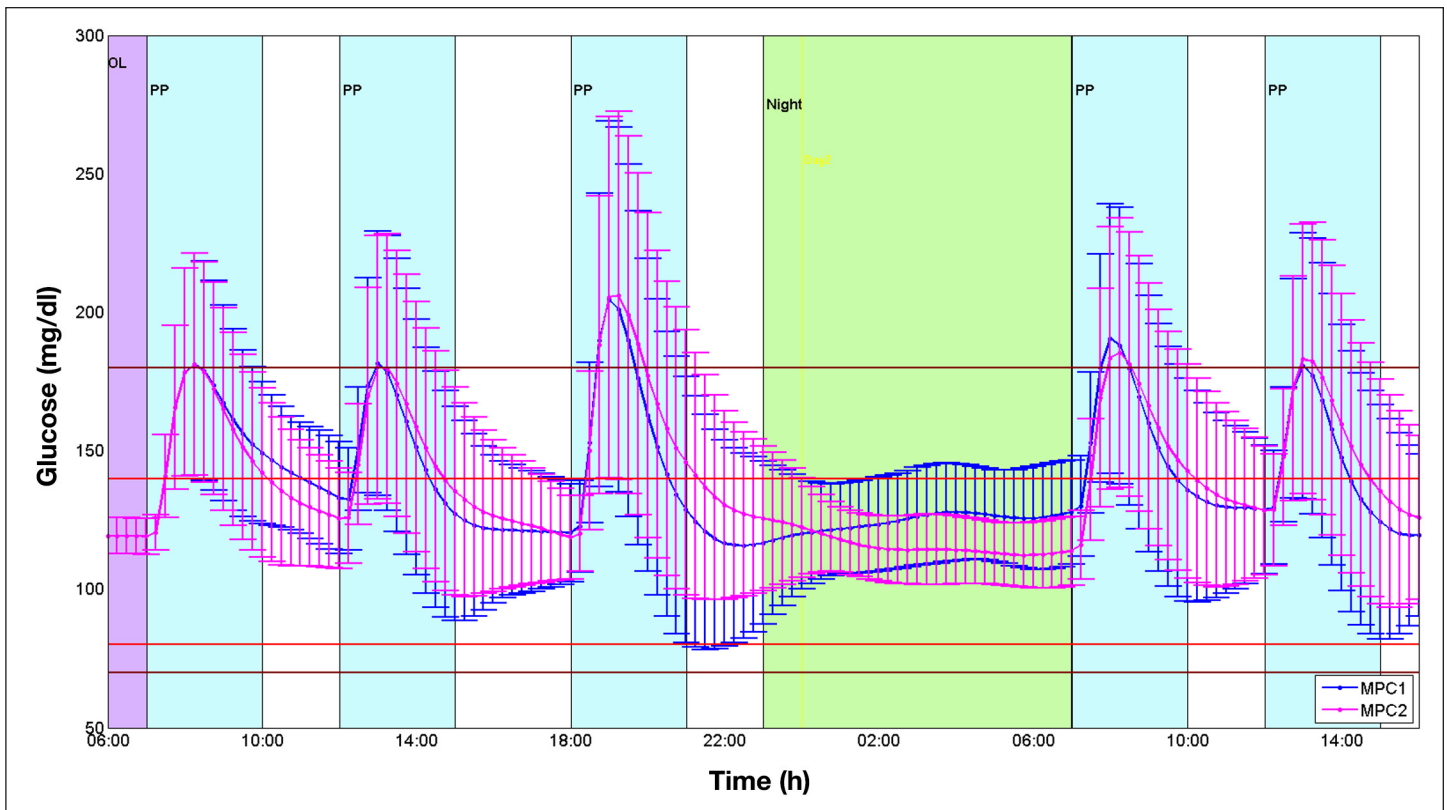


Figure 6. The figure shows for MPC1 (blue) and MPC2 (magenta) the mean (dots) and the variability ( $\pm$ standard deviation) of the glucose profiles obtained in 100 virtual patients with the meal variation scenario. OL, open loop; PP, postprandial period.

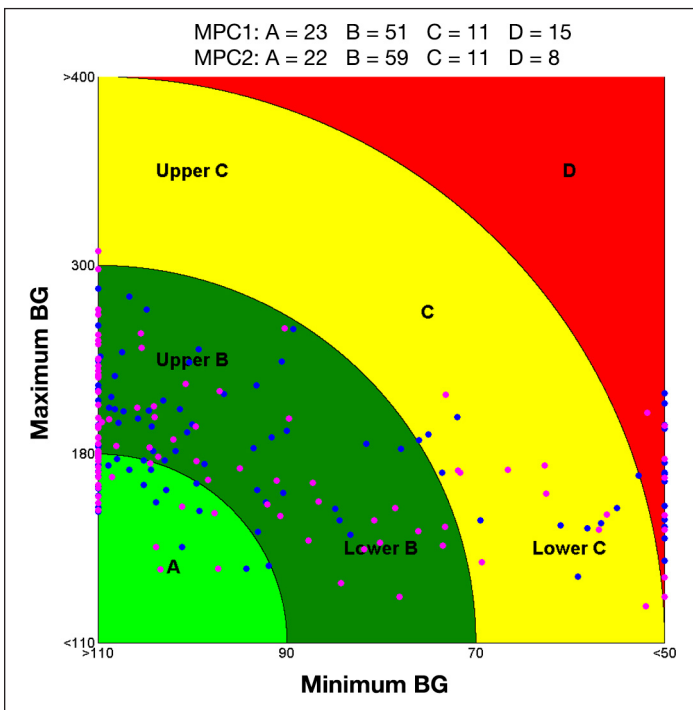


Figure 7. The CVGA representing the results obtained using MPC1 (blue) and MPC2 (magenta) on the sensitivity variation scenario. Each point represents the coordinates ( $x$  is a function of the minimal glucose value and  $y$  a function of the maximal value) associated with a single patient.

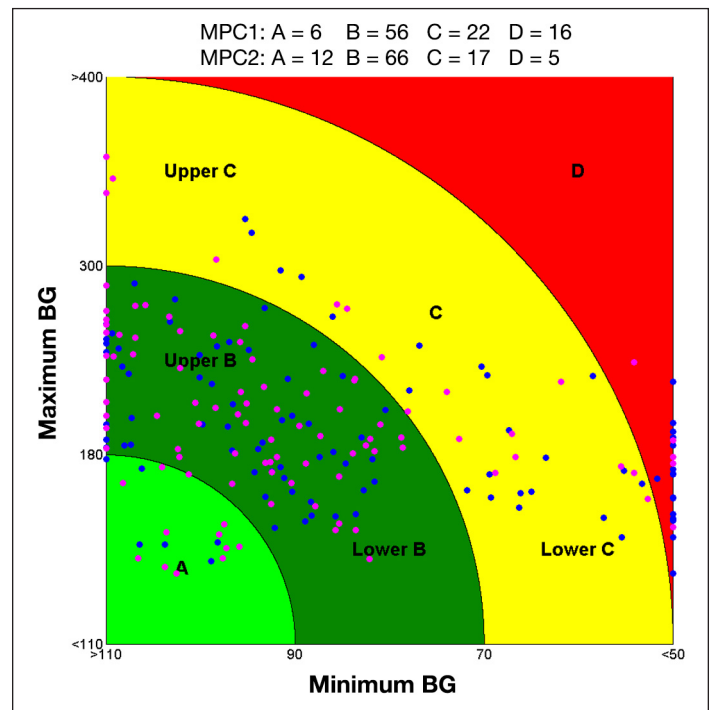


Figure 8. The CVGA representing the results obtained using MPC1 (blue) and MPC2 (magenta) on the meals variation scenario. Each point represents the coordinates ( $x$  is a function of the minimal glucose value and  $y$  a function of the maximal value) associated with a single patient.

**Table 5.**  
**Results Obtained Simulating Strategies MPC1 and MPC2 on the Nominal Scenario<sup>a</sup>**

		Nominal scenario		
		O	N	PP
M (mg/dl)	MPC1	140.74	124.42	156.30
	MPC2	139.57	115.96 <sup>b</sup>	157.78
	<i>p</i> value	0.16	8.51·10 <sup>-8</sup>	0.70
SD (mg/dl)	MPC1	28.63	13.07	26.02
	MPC2	27.41	9.35 <sup>b</sup>	24.55
	<i>p</i> value	0.20	1.28·10 <sup>-5</sup>	0.10
Tt (%)	MPC1	86.33	99.02	76.22
	MPC2	87.64	99.81	77.22
	<i>p</i> value	0.08	0.05	0.34
Ttt (%)	MPC1	52.69	82.07	32.02
	MPC2	59.01 <sup>c</sup>	94.69 <sup>b</sup>	32.54
	<i>p</i> value	3.33·10 <sup>-3</sup>	2.53·10 <sup>-6</sup>	0.82
Ta (%)	MPC1	12.45	0.78	23.18
	MPC2	12.31	0.19	22.78
	<i>p</i> value	0.37	0.24	0.54
Tb (%)	MPC1	1.23	0.20	0.60
	MPC2	0.05 <sup>b</sup>	0.00	0.00 <sup>c</sup>
	<i>p</i> value	4.70·10 <sup>-4</sup>	0.08	1.23·10 <sup>-3</sup>
Th (%)	MPC1	0.49	0.01	0.26
	MPC2	0.00 <sup>c</sup>	0.00	0.00 <sup>d</sup>
	<i>p</i> value	7.31·10 <sup>-3</sup>	0.32	0.04

<sup>a</sup> O is overall, N is night, PP is the mean relative to all postprandial periods; M is the mean of the BG (mg/dl); SD is the standard deviation of the BG (mg/dl); Tt is the percentage of time spent in euglycemic target (70–180 mg/dl); Ttt is the percentage of time spent in tight target (80–140 mg/dl); Ta is the percentage of time spent above 180 mg/dl; Tb is the percentage of time spent below 70 mg/dl; and Th is the percentage of time spent below 50 (mg/dl).

<sup>b</sup> *p* < .001.

<sup>c</sup> *p* < .01.

<sup>d</sup> *p* < .05.

glucose standard deviations are always lowered, especially during the night ( $p < .003$ ). Note that during the night, the mean glucose is almost equal to the target, and the increase of the time below 70 mg/dl in the sensitivity variation scenario is negligible ( $p > .78$ ). In the meal variations scenario, as reported in **Table 6**, the time in target is almost 100% combined with a decrease of the time below 70 mg/dl ( $p < .06$ ); a negligible increase of the mean glucose ( $p > .51$ ) and the time above 180 mg/dl ( $p > .68$ ) is detected in the postprandial period.

## Conclusions

The new MPC controller proposed in this article is an evolution of the algorithm presented by Soru and coauthors<sup>10</sup> that was used during the CAT AP@home trial.<sup>21</sup> The new MPC incorporates clinical experience included in the collected data and also some clinical knowledge that is very critical to develop an artificial pancreas ready for long-term outpatient experiments. *In silico* results are very promising in terms of mean glucose, time in target, and number of patients in the critical zone of the CVGA. This algorithm, complemented with the SSM,<sup>22</sup> has been implemented on the

**Table 6.**  
**Results Obtained Simulating Strategies MPC1 and MPC2 on the Sensitivity Variation and the Meal Variation Scenarios<sup>a</sup>**

		Sensitivity variation scenario			Meals variation scenario		
		O	N	PP	O	N	PP
M (mg/dl)	MPC1	143.08	125.71	158.50	139.79	124.23	157.05
	MPC2	142.38	118.49 <sup>b</sup>	160.50	140.07	116.03 <sup>b</sup>	159.66
	<i>p</i> value	0.82	9.08·10 <sup>-5</sup>	0.62	0.56	1.54·10 <sup>-7</sup>	0.51
SD (mg/dl)	MPC1	29.90	13.03	27.08	35.87	13.34	38.35
	MPC2	28.63	10.29 <sup>c</sup>	25.55	35.18	9.59 <sup>b</sup>	37.53
	<i>p</i> value	0.30	2.09·10 <sup>-3</sup>	0.24	0.46	6.97·10 <sup>-6</sup>	0.41
Tt (%)	MPC1	81.75	98.66	70.02	83.89	98.92	71.74
	MPC2	83.06	98.68	71.71	84.54	99.66 <sup>d</sup>	71.93
	<i>p</i> value	0.23	0.35	0.49	0.28	0.04	0.88
Ttt (%)	MPC1	47.81	79.58	28.84	56.91	81.89	39.82
	MPC2	50.65	88.55 <sup>c</sup>	29.25	62.52	94.86 <sup>b</sup>	40.69
	<i>p</i> value	0.30	9.30·10 <sup>-3</sup>	0.91	0.01	1.63·10 <sup>-6</sup>	0.76
Ta (%)	MPC1	15.58	1.06	27.66	13.97	0.83	26.76
	MPC2	15.58	0.55	27.54	14.84	0.24	27.57
	<i>p</i> value	0.59	0.30	0.86	0.84	0.30	0.69
Tb (%)	MPC1	2.69	0.30	2.31	2.12	0.25	1.49
	MPC2	1.38	0.78	0.74	0.61 <sup>c</sup>	0.1	0.49 <sup>d</sup>
	<i>p</i> value	0.16	0.78	0.06	1.93·10 <sup>-3</sup>	0.06	0.01
Th (%)	MPC1	1.27	0.00	1.25	0.76	0.01	0.62
	MPC2	0.40 <sup>d</sup>	0.09	0.23	0.12 <sup>c</sup>	0	0.19
	<i>p</i> value	0.04	0.32	0.17	7.62·10 <sup>-3</sup>	0.32	0.07

<sup>a</sup> O is overall, N is night, PP is the mean relative to all postprandial periods; M is the mean of the BG (mg/dl); SD is the standard deviation of the BG (mg/dl); Tt is the percentage of time spent in euglycemic target (70–180 mg/dl); Ttt is the percentage of time spent in tight target (80–140 mg/dl); Ta is the percentage of time spent above 180 mg/dl; Tb is the percentage of time spent below 70 mg/dl; and Th is the percentage of time spent below 50 mg/dl.

<sup>b</sup> *p* < .001.

<sup>c</sup> *p* < .01.

<sup>d</sup> *p* < .05.

Diabetes Assistant<sup>37</sup> and tested in an outpatient trial,<sup>38</sup> following the first outpatient studies,<sup>39,40</sup> which implemented a simpler hypo–hyper mitigation system always complemented with the SSM.<sup>22</sup>

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